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**A PROGRESS REPORT ON RECENT KARE MUON DECAY EXPERIMENTS AT THE
LOS ALAMOS MESON PHYSICS FACILITY**

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A search has been performed for the decays $\mu \rightarrow eee$, $\mu \rightarrow e\gamma$, and $\mu \rightarrow e\gamma\gamma$ with a sensitivity in the branching ratios at the level of 10^{-10} . The experiment used a separated, 26 MeV/c μ^+ beam with an average intensity of 300 kHz. A total of 2.2×10^{11} muon decays were examined for the present result. The detector for the experiment is the Crystal Box, which consists of a cylindrical drift chamber surrounded by 396 NaI(Tl) crystals. A layer of scintillation counters in front of the crystals provided timing for electrons and a veto for photons. The energy resolution for electrons and photons is ~6% (FWHM). The position resolution of the drift chamber is 350 μ m leading to a vertex cut with a rejection of 10^3 for $\mu \rightarrow eee$. The timing resolution is ~300 ps from the scintillators and ~1 ns from the crystals. No candidate for $\mu \rightarrow eee$ has been found, yielding an upper limit for the branching ratio of $B_{\mu e} < 1.3 \times 10^{-10}$ (90% C.L.).

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1. INTRODUCTION

The muon has been a mystery since its discovery in 1937 (Ref. 1). After its properties were disentangled from the pion, physicists found a particle that could only be distinguished from the electron by its mass. In the years since, physicists have also found it necessary to distinguish between muons, electrons, and taus by invoking separate conserved additive lepton quantum numbers². These quantities do not relate to any known space-time symmetry as does energy, nor to any known massless gauge boson, as does the electric charge. We know of no fundamental reason why the muon, electron, and tau family numbers should be conserved.

Present theoretical thought includes the origin of the family number problem under the hierarchy problem: Why are there more quarks and leptons beyond the u and d quarks and the electron and ν_e leptons, and what are the connections to the "extra" hierarchies? In this particular experiment, we ask how the muon and electron are connected and at what level, if any, do the neutrinoless family number violating decays $\mu \rightarrow e\gamma$, $\mu \rightarrow e\gamma\gamma$, and $\mu \rightarrow eee$ occur. The standard model is silent on this question³. Extensions to the standard model speak with many different voices with as many different answers⁴. Examples include the existence of multiple Higgs doublets⁵; flavor-changing neutral gauge bosons (for example, the gauge bosons associated with horizontal gauge interactions⁶, or the gauge bosons present in extended technicolor theories⁷); composite models⁸; muon-number violation mediated by light lepto-quarks (present in some grand unified theories⁹ and in extended technicolor theories⁷); muon-number violation mediated by supersymmetric partners of the usual $SU(2)_L \times U(1)$ gauge bosons¹⁰; and the existence of new electroweak interactions¹¹. In general, these different sources of lepton number nonconservation predict different relative strengths for the various neutrinoless transitions. This underscores the importance of searching for all of these processes.

Another process which violates lepton-family number is neutrino oscillation¹². Oscillations explicitly require massive neutrinos while this is not the case for the processes discussed above. However, oscillation experiments can be sensitive to very small neutrino masses (< 1 eV), while effects in the neutrinoless transitions caused by these oscillations alone would be negligibly small.

Amongst this tangle, the experimental limits on these decays have been steadily decreasing. See Figure 1. The current limits (90% C.L.) for the various lepton-number nonconserving decays are:

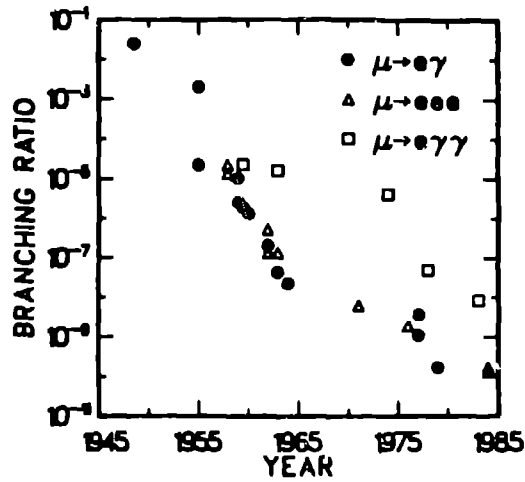


FIGURE 1
Upper limit for several muon-violating processes as function of time.

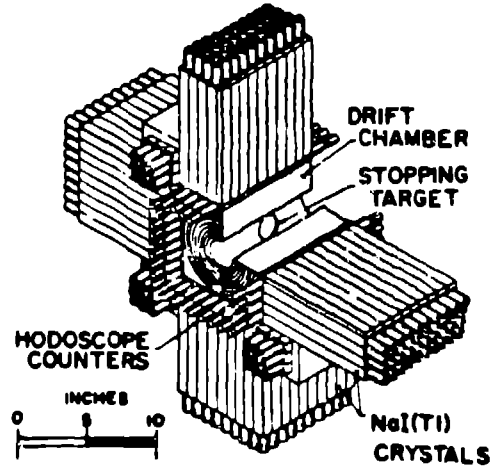


FIGURE 2
A schematic diagram of the Crystal Box detector.

$$\frac{\Gamma(\mu \rightarrow e \gamma)}{\Gamma(\mu \rightarrow e \nu \bar{\nu})} < 1.7 \times 10^{-10} \quad (\text{Ref. 13})$$

$$\frac{\Gamma(\mu \rightarrow e e e)}{\Gamma(\mu \rightarrow e \nu \bar{\nu})} < 1.6 \times 10^{-10} \quad (\text{Ref. 14})$$

$$\frac{\Gamma(\mu \rightarrow e \gamma \gamma)}{\Gamma(\mu \rightarrow e \nu \bar{\nu})} < 8.4 \times 10^{-9} \quad (\text{Ref. 15})$$

2. THE EXPERIMENTAL HARDWARE

The Crystal Box detector is designed to improve the limits on each of these decays to the level of 10^{-11} . It is shown in Figure 2. It is a general purpose charged particle and photon detector of large solid angle in place at the Stopped Muon Channel of the Clinton P. Anderson Meson Physics Facility (LAMPF). A separated, 26 MeV/c μ^+ beam stops in an elliptical, polystyrene target located at the center of the detector. The target tilts at 45° with respect to the beam direction to present a 6.7 cm radius projected circular cross section, 52 mg/cm² thick, to the beam. The muon stopping rate is typically $3 \times 10^5 \text{ s}^{-1}$ (average) with a duty factor of 6.8%. The polarization of decaying muons in polystyrene is measured to be $(14.6 \pm 1.4)\%$. Surrounding the target is a 728-wire, eight-plane, large-stereo-angle drift chamber,¹⁶ which determines the tracks of charged particles in three dimensions. The single-plane resolution is about 350 μm FWHM. The measured single track

tion efficiency is 95%. The chamber presents an average of 3 radiation lengths to a particle traversing it in a direction the beam axis. There is no applied magnetic field. The knowledge of the origin and the original direction of a charged particle is limited by scattering in the target, the target frame, and the inner beam foil. The position resolution of the origin on the target is on the order of 2 mm.

particles next traverse a scintillator hodoscope containing 36 counters. Each counter is $44.5 \times 5.7 \times 1.27$ cm, with a photomultiplier at each end by a light pipe. These counters define the fiducial region for charged particles. The counters also provide timing and position information. Constant fraction discriminator¹⁷ signals from the two ends of a counter are connected to a mean timer¹⁸ for trigger coincidence decisions. The time resolution of each counter is 290 ps (FWHM). The position resolution along the length of the counter is 4.2 cm (FWHM).

Regions upstream and downstream of the hodoscope are covered by 16 veto counters, each measuring $13.3 \times 23.8 \times 0.3$ cm. These counters are used to help distinguish charged particles from photons. Their average time resolution is 750 ps (FWHM).

The innermost part of the detector is an array of 360 NaI(Tl) face centered cubic crystals with a 6.35×6.35 cm cross section and 30.5 cm long, plus 36 corner cubes with a $6.35 \times 6.35 \times 63.5$ cm. These crystals are packaged in a single vacuum-tightly sealed container. Paper wrapping around each crystal provides thermal insulation. Each face crystal is coupled to a single photomultiplier tube. The corner crystals have photomultipliers at both ends. Each photomultiplier has its own constant fraction discriminator with a threshold set at 50%.

The absolute energy gain of each NaI(Tl) crystal is calibrated using a ^{60}Co source (4.43 MeV γ) and the reactions $\pi^-p \rightarrow n\pi^0(+\gamma\gamma)$ ($E_\gamma < 83$ MeV) and $\pi^-p \rightarrow n\gamma$ ($E_\gamma = 129.4$ MeV). The measured energy resolution function is approximately an asymmetric gaussian with a FWHM of 30 MeV. See Figure 3. The pion data is taken with a liquid hydrogen target, placing the drift chamber.

Delays and offsets for the timing system are calibrated using Michel electrons coming from a centrally placed plastic counter just behind the target. The coincidence of this counter with the scintillator hodoscope and veto counters forms a timing trigger with the timing start signal advanced 10 ns on the veto counter trigger. The alternating start signal provides a calibration of the timing system.

the gain of the timing system. The NaI was calibrated once during our January run, the plastic scintillators were calibrated daily.

The stability of the gains of each timing and pulse height NaI(Tl) channel is monitored every two hours using a Xe flash tube with a fiber optics cable connected to each photomultiplier. The timing resolution of the NaI(Tl) detectors is 1.1 ns (FWHM). The photon conversion point is determined to about 3.8 cm (FWHM) by the energy sharing in the different NaI crystals.

The single particle acceptance in the fiducial area (which assures shower containment in the NaI(Tl)) is $\Omega/4\pi = 45\%$, including finite target-size effects. The solid angle times efficiency is approximately 12% for $3e$ events, 40% for $e\gamma$ events, and 14% for $e\gamma\gamma$ events. Figure 4 summarizes the sensitivity of the experiment as a function of running time and beam intensity.

3. TRIGGER REQUIREMENTS AND DATA ACQUISITION

In order to reduce the data stream to manageable proportions, the trigger of the experiment is quite complex¹⁹. The $e\gamma$ and $e\gamma\gamma$ triggers define particle types by quadrants. We define an electron (or positron) quadrant as a signal in a hodoscope scintillator with one or more NaI crystals in the same quadrant having more than 5 MeV. A photon quadrant is 5 MeV or more energy in at least one NaI crystal with no energy in the plastic scintillators in that quadrant. The $e\gamma$ trigger requires opposite electron and photon quadrants within 7 ns of

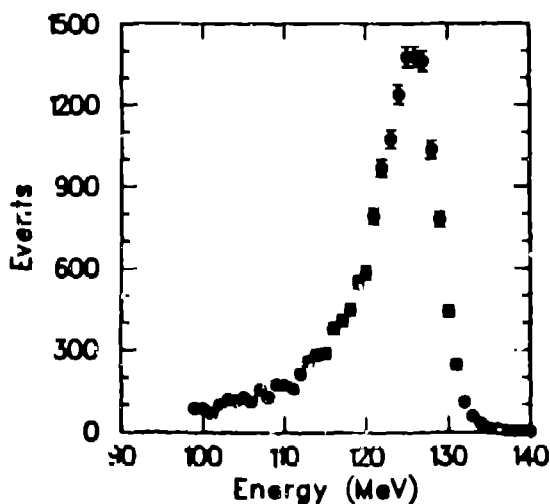


FIGURE 3

The spectrum of energy detected in the NaI(Tl) for photons from the reaction $\pi^- p \rightarrow n\gamma$.

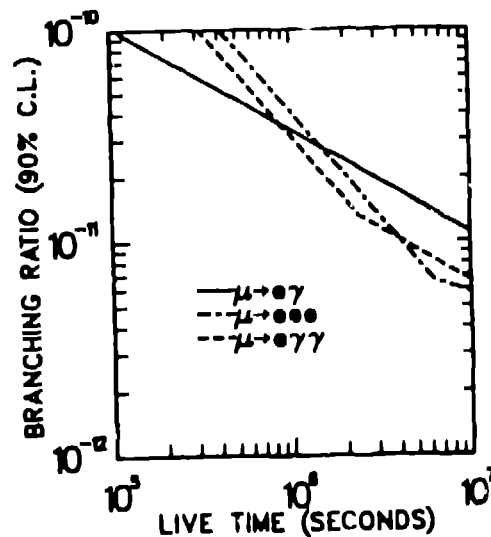


FIGURE 4

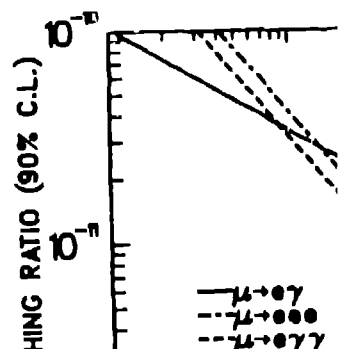
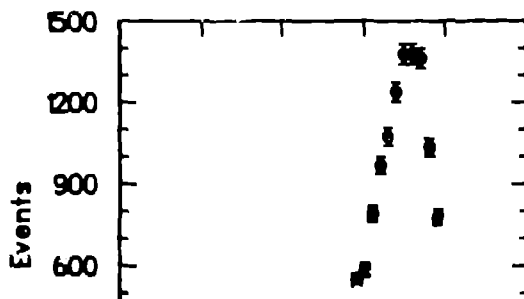
Monte Carlo prediction of branching ratio sensitivity as a function of running time for a 500KHz stopping rate.

is monitored every two hours using a Xe flash tube with a fiber connected to each photomultiplier. The timing resolution of detectors is 1.1 ns (FWHM). The photon conversion point is about 3.8 cm (FWHM) by the energy sharing in the different NaI crystals.

The single particle acceptance in the fiducial area (which is contained in the NaI(Tl)) is $\Omega/4\pi = 45\%$, including finite effects. The solid angle times efficiency is approximately 12% : 40% for $e\gamma$ events, and 14% for $e\gamma\gamma$ events. Figure 4 shows the sensitivity of the experiment as a function of running time and intensity.

3. TRIGGER REQUIREMENTS AND DATA ACQUISITION

In order to reduce the data stream to manageable proportions, the trigger system of the experiment is quite complex¹⁹. The $e\gamma$ and $e\gamma\gamma$ triggers are defined by quadrants. We define an electron (or positron) quadrant in a hodoscope scintillator with one or more NaI crystals in the quadrant having more than 5 MeV. A photon quadrant is 5 MeV or more energy in one NaI crystal with no energy in the plastic scintillators in the quadrant. The $e\gamma$ trigger requires opposite electron and photon quadrants.



, and that each have an NaI energy greater than 35 MeV. The event requires at least two gamma quadrants, one and only one electron and a total in all of the NaI of more than 70 MeV.

The trigger is much more sophisticated. It requires that three rows fire within 5 ns of each other and that there be signals in non-adjacent scintillators within 15 ns of each other. When these conditions are met, a pre-trigger starts the data acquisition system. A post-trigger then considers the geometry of the event in detail. The post-trigger defines an electron as a signal in a hodoscope scintillator with less than 5 MeV of energy in a crystal in one of the three rows of crystals behind that scintillator. The three electrons must be in a geometric configuration kinematically consistent with a $3e$ decay. This post-trigger takes an additional 150 ns. In addition, for most of the run, the post-trigger requires a 70 MeV threshold from the sum of all of the NaI. These three conditions generate a trigger rate of about 5 Hz with a 4.4 MHz muon stopping rate in the target at a 6.7% duty factor (300 kHz).

The apparatus is instrumented with analog-to-digital converters (ADC's) and digital converters (TDC's) on all of the plastic scintillators and NaI

The drift-chamber wire signals are discriminated and used to stop the TDC's. In addition, a second ADC with a different gate is used on the crystals as a pileup rejector. The trigger starts all the TDC's, sets a gate for the ADC's, and provides a start signal for the readout of the ADC's. For each event all the scintillator, ADC, and TDC data are

Distributed processors are used to perform a sparse data scan for drift-chamber TDC information and the NaI pulse height and timing information. Taking data in this fashion makes each event about 500 16-bit words long. At fixed intervals a number of scalars are read out; these contain information about the number of muons stopped, the duty factor, and the trigger rate. A PDP-11/44 is used to acquire and tape the data. The option to reduce the taping rate by using the data acquisition computer to process the data on the data before taping.

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The limits on the sensitivity of this experiment are determined by how well backgrounds are suppressed and the number of muons stopped. The sources of background are random coincidences between Michel positrons and muon decay and annihilation photons, and the prompt processes $\mu \rightarrow e e e \nu \bar{\nu}$, and $\mu \rightarrow e \nu \nu \nu \bar{\nu}$. Using the energy, time, and position resolutions one

places the requirement on all decay modes that the particles be in time, that the total energy be equal to that of the muon, and that the vector sum of the momenta be zero. In addition, for $3e$ events, one can require that all tracks have a common origin on the target. The backgrounds are completely suppressed for the $3e$ and $e\gamma\gamma$ modes. Randoms dominate the background for the $e\gamma$ mode with about a 10% prompt background.

A small amount of data was collected this January. We acquired data at about 300 kHz of muons and 6.8% duty factor. Approximately 2.2×10^{11} muons were stopped. All the data were processed by a multistage filtering process. A first pass consisted of software timing cuts and geometrical cuts that could be applied without using the drift chamber reconstruction routines. This reduced the amount of data by a factor of ~ 10 . A second pass used the drift chamber tracks to allow one to do more severe timing and geometrical cuts, and provided a further reduction of a factor of ~ 10 . The data remaining after the first two passes consist of 10^3 - 10^4 events in each of the data streams. These are carefully investigated to look for a prompt signal and any candidates for lepton family violating decays. The final analysis of the $e\gamma$ and $e\gamma\gamma$ modes is still in progress. The rest of this paper will deal with the $3e$ mode.

The signature for a $\mu^+ \rightarrow e^+e^+e^-$ event is that the three trajectories should emerge from a common vertex in the target in time coincidence, ΣE , the sum of the three energies deposited in the NaI(Tl) plus the ionization energy losses in other materials should equal the muon mass, and the vector sum of the three momenta ($|\Sigma \vec{p}|$) should be zero.

The main source of triggers is the random coincidence of positrons from three independent ordinary muon decays. These events tend not to satisfy any of the above constraints. Events due to $\mu^+ \rightarrow e^+e^+e^-\nu_e\bar{\nu}_\mu$, a process which does not violate separate lepton number conservation, have $\Sigma E + |\Sigma \vec{p}| < M_\mu$ and ΣE generally much less than M_μ .

The first analysis pass requires that three non-adjacent scintillator meantimes occur within a 1.5 ns interval, and that each of these scintillators have behind it a NaI(Tl) clump with at least 10 MeV within a 5 ns interval. A clump is defined as the crystal with the largest local pulse height plus the nearest 24 surrounding crystals. The output of the first pass is 1.3×10^5 events.

For the second pass, we reconstruct tracks in the drift chamber that intersect the active scintillators. The reconstruction program requires hits in at least 7 of the 8 drift chamber layers for each track. The analysis requires that three tracks intersect the target plane with an angle of more than 3° , and that the rms sum of the distances between the three track

intersection points on the target (the vertex) must be less than a radius of 6 cm. Finally, a cut $\Sigma E + |\Sigma \vec{p}| < 120 \text{ MeV}$, is imposed. A total of 3112 events survive these cuts.

The third analysis pass tightens the vertex cut after weighting each track-target intersection point according to the uncertainty in the measurement of that point. The 1.5 ns scintillator timing cut is reimposed after correcting each particle's time-of-flight for the path length from the vertex to the scintillator. This pass reduces the number of events to 83.

The final cuts require that $\Sigma E + |\Sigma \vec{p}| < 110 \text{ MeV}$, $|\Sigma \vec{p}| < 12 \text{ MeV}$, and that the three scintillator meantimes occur within a 1 ns interval. No events pass these cuts. The acceptance of the apparatus was calculated with a Monte Carlo program that accurately reproduces the response of the detectors to positrons, electrons, and photons. Electromagnetic showers are simulated with the shower code EGS (Ref. 20). The product of the acceptance and detector efficiency for $\mu^+ + 3e$ events, assuming a constant matrix element, is $(8.5 \pm 0.8\%)$. We obtain an upper limit of

$$B_{\mu 3e} < 1.3 \times 10^{-10} \text{ (90\% C.L.)} .$$

As a check of the performance of the apparatus and the normalization, the portion of the data taken without the total NaI energy requirement (2.55×10^{10} muons stopped) was analyzed for $\mu^+ + e^+e^+e^-\nu_e\bar{\nu}_\mu$ events. Since these events tend to have a non-zero vector momentum sum, the $|\Sigma \vec{p}|$ cut was removed. Eleven events passed these cuts. The Monte Carlo program predicts 12 ± 2 events, using a matrix element based on standard electroweak theory²¹. The distributions of ΣE , $\Sigma E + |\Sigma \vec{p}|$, vertex, and timing for the data and the Monte Carlo events agree with each other. The agreement of these distributions and of the number of events, verifies the validity of the assumed detector resolutions efficiencies, calibrations, and the beam normalization. Figure 5a shows the distribution of ΣE vs. $|\Sigma \vec{p}|$ for the detected $\mu^+ + e^+e^+e^-\nu_e\bar{\nu}_\mu$ events and a 90% acceptance contour for $\mu^+ + e^+e^+e^-$ events. Figure 5b shows the unnormalized distribution for $\mu^+ + e^+e^+e^-\nu_e\bar{\nu}_\mu$ events from the Monte Carlo simulation.

It is expected that we will take data representing 10^{12} stopped muons this summer, and that limits of 10^{-11} will be placed on all three decay modes.

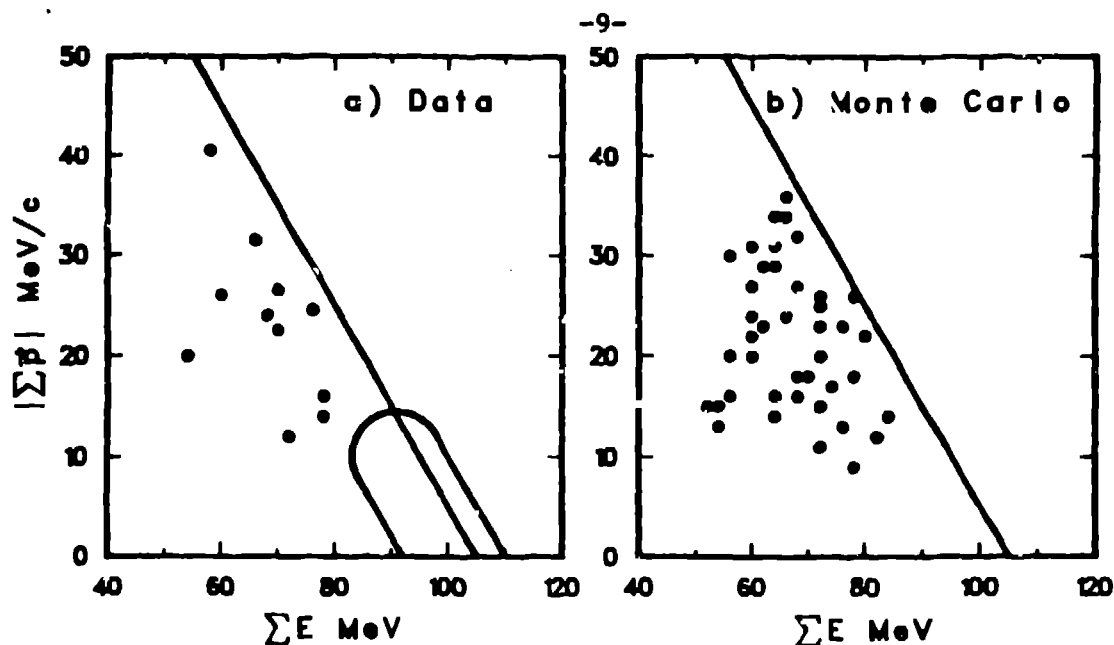


FIGURE 5a

FIGURE 5b

5a. The vector sum of the momenta for the two positrons and the electron ($|\Sigma \vec{p}|$) vs. the sum of their energies (ΣE) for data events. The sloping line represents the condition $\Sigma E + |\Sigma \vec{p}| = M_\mu$. The area enclosed near $\Sigma E = 100$ MeV, $|\Sigma \vec{p}| = 0$ contains 90% of Monte Carlo $\mu^+ + e^+e^-$ events.

5b. The distribution of Monte Carlo $\mu^+ + e^+e^- \nu_e \bar{\nu}_\mu$ events.

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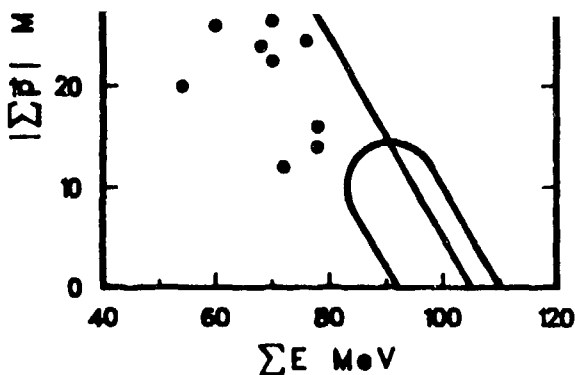


FIGURE 5a

5a. The vector sum of the momenta ($|\Sigma \vec{p}|$) vs. the sum of their energies (ΣE) represents the condition $\Sigma E + |\Sigma \vec{p}| = 100$ MeV, $|\Sigma \vec{p}| = 0$ contains 90% of
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A large experiment such as this contributions of many people. In L. Bayliss, H. Butler, S. Chesney, I. C. Espinoza, T. Gordon, G. Hart, R. H. P. von Guten, and H. Zeman. In addition staff for their many contributions and this experiment. This work was supported by the Energy and the National Science Foundation.

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